

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
ZLOKOVIC et al.

Serial No.: 10/537545

Filed: June 3, 2005

For: NEUROPROTECTIVE ACTIVITY OF ACTIVATED PROTEIN C IS INDEPENDENT OF ITS  
ANTICOAGULANT ACTIVITY

Attention: Application Branch

Atty. Dkt. 4061-35

Date: December 18, 2006

IAP6 Rec'd PCT/PTO 18 DEC 2006

DEC 18 2006

### Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

The attached completes filing of the above-identified patent application:

☐ **Correspondence Address Indication Form Attached.**

☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR

☐ Signed Declaration plus attached copy of originally filed specification/drawings.

☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.

☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

**Application Number**

**Country**

**Day/Month/Year Filed**

PCT/US2003/038764

5 December 2003

respectively.

☐ Certified copy(ies) of foreign application(s) is/are attached.

☒ Certified copy(ies) filed on June 3, 2005 in prior application no. 10/537,545, filed June 3, 2005.

☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.

☐ Please enter the attached preliminary amendment prior to calculation of filing fee.

☐ Also attached: ☐ **Information Disclosure Statement**; ☐ **Other**:

### Fees due are calculated below:

Basic filing fee \$300.00 (1011)/\$150.00 (2011) \$

Search Fee \$500.00 (1111)/\$250.00 (2111) \$

Examination Fee \$200.00 (1311)/\$100.00 (2311) \$

Application Size Fee for each add'l 50 sheets that exceeds 100 sheets)

Total pages: 0-100 = 0.00 0 \$0.00(1081)/ \$0.00 (2081) \$

Total effective claims 0 - 20 (at least 20) = 0 x \$50.00 = \$0.00 (1202)/\$0.00 (2202) \$

Independent claims 0 - 3 (at least 3) = 0 x \$200.00 = \$0.00 (1201)/\$0.00 (2201) \$

If any proper multiple dependent claims now added for first time (ignore improper), add

\$360.00 (1203)/\$180.00 (2203) \$

Petition is hereby made to extend the current due date so as to cover the filing date of this paper and

attachment(s)

One Month Extension \$120.00 (1251)/\$60.00 (2251)

Two Month Extensions \$450.00 (1252)/\$225.00 (2252)

Three Month Extensions \$1020.00 (1253)/\$510.00 (2253)

Four Month Extensions \$1590.00 (1254)/\$795.00 (2254)

Five Month Extensions \$2160.00 (1255)/\$1080.00 (2255) \$ 2160.00

Surcharge if Declaration or filing fee first now submitted: \$130.00 (1051)/\$65.00 (2051) \$

English translation of specification and claims \$130.00 (1053) \$

Assignment Recording Fee \$40.00 (8021) \$

**TOTAL FEE DUE \$ 2160.00**

☒ **CREDIT CARD PAYMENT FORM ATTACHED.**

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

901 North Glebe Road, 11th Floor

Arlington, Virginia 22203-1808

Telephone: (703) 816-4000

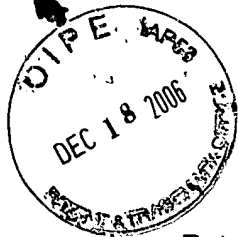
Facsimile: (703) 816-4100

GRT:ap

NIXON & VANDERHYE P.C.

By Atty: Gary R. Tanigawa, Reg. No. 43,180

Signature: 



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Confirmation No. 4761

ZLOKOVIC et al.

Atty. Ref.: 4061-35

Appln. No. 10/537,545

T.C. / Art Unit: Not Known

Filed: June 3, 2005

Examiner: Not Known

FOR: NEUROPROTECTIVE ACTIVITY OF ACTIVATED PROTEIN C INDEPENDENT OF  
ITS ANTICOAGULANT ACTIVITY

\* \* \*

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

December 18, 2006

**MS Missing Parts**

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:


In response to the Notification of Missing Requirements mailed May 24, 2006 (a copy of which is attached), Applicants submit an executed inventors' Rule 63 Declaration in compliance with 37 CFR § 1.63 and the Official five months extension fees.

Applicants earnestly solicit an early examination on the merits. The Examiner is invited to contact the undersigned if any further information is required.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_

  
Gary R. Tanigawa  
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4001-35  
GRT

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/537,545	Berislav V. ZLOKOVIC	4061-35

INTERNATIONAL APPLICATION NO.
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PCT/US03/38764

I.A. FILING DATE	PRIORITY DATE
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12/05/2003

01/24/2003

23117

NIXON & VANDERHYE, PC  
 901 NORTH GLEBE ROAD, 11TH FLOOR  
 ARLINGTON, VA 22203

DOCKETED

CLT/MATTER OF 4001-35

MAIL DATE May 24, 2006

DUE DATE July 24, 2006

FINAL DEADLINE Dec. 24, 2006

Date Mailed: 05/24/2006

DOCKETED BY LMS/28

CONFIRMATION NO. 4761

371 FORMALITIES LETTER



\*CC00000018922119\*

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/03/2005
- Copy of the International Search Report filed on 06/03/2005
- Preliminary Amendments filed on 06/03/2005
- Information Disclosure Statements filed on 06/03/2005
- Request for Immediate Examination filed on 06/03/2005
- U.S. Basic National Fees filed on 06/03/2005
- Priority Documents filed on 06/03/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/537,545	PCT/US03/38764	4061-35

FORM PCT/DO/EO/905 (371 Formalities Notice)